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RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE
Patent Application
Docket No. ARS-102
Serial No. 10/510,015

Frank C Eisenschenk

Frank C. Eisenschenk, Ph.D., Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Claudio Soto-Jara, Claudia Pena-Rossi
Serial No. : 10/510,015
Filed : September 30, 2004
Conf. No. : 4494
For : Novel OX40R Binding Agents

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

A Transmittal Letter, along with the executed Declaration (37 CFR 1.63) for Utility Application Using an Application Data Sheet and Power of Attorney forms and Submission of Sequence Listing Under 37 CFR §§1.821-1.825 providing the sequence listing in computer readable format, was submitted to the Patent Office on April 15, 2005 in response to a Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated February 15, 2005. A Notification of Defective Response dated August 18, 2006 was received from the Patent and Trademark Office, and a copy of the Notification is enclosed herewith. The Raw Sequence Listing Error Report attached to the Notification indicates that the organism at sequence identifier <213> in SEQ ID NOs: 2-15 is an "invalid response."

Attached with this Response is a replacement Submission of Sequence Listing Under 37 CFR §§ 1.821-1.825 and an Amendment Under 37 CFR §1.825(a) through (c).

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 19-0065.

Respectfully submitted,



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FCE/sl

Attachments: Submission of Sequence Listing Under 37 CFR §§1.821-1.825; Amendment Under 37 CFR §1.825(a) through (c); copy of Notification of Defective Response.

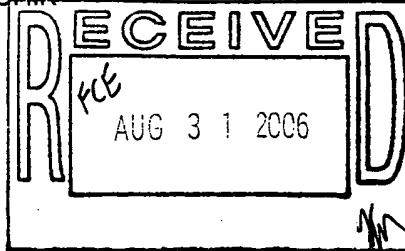


UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/510,015	Claudio Soto-Jara	ARS-102
INTERNATIONAL APPLICATION NO.		
PCT/EP03/50089		
I.A. FILING DATE		PRIORITY DATE
04/02/2003		04/03/2002
CONFIRMATION NO. 4494		
371 FORMALITIES LETTER		
 OC000000020207970		

Date Mailed: 08/28/2006



NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 09/30/2004
- Copy of the International Search Report filed on 09/30/2004
- Copy of IPE Report filed on 09/30/2004
- Preliminary Amendments filed on 09/30/2004
- Biochemical Sequence Diskette filed on 04/18/2006
- Oath or Declaration filed on 04/18/2006
- Biochemical Sequence Listing filed on 09/30/2004
- Request for Immediate Examination filed on 09/30/2004
- U.S. Basic National Fees filed on 09/30/2004
- Priority Documents filed on 09/30/2004
- Power of Attorney filed on 04/18/2006

Applicant's response filed 04/18/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 02/15/2005 have not been completed.

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a

substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/510,015	PCT/EP03/50089	ARS-102

FORM PCT/DO/EO/916 (371 Formalities Notice)